



**Annual Work Plan 2019**

**Project Title:** Institutional Support to Climate Change Adaptation and Mitigation-II

**OP/ Country Programme Outcome:** Enhanced resilience and socioeconomic development of communities

**Country Programme Output:** **Output 6.3:** Legal and regulatory frameworks and policies are in place, and institutions capacitated for the conservation, sustainable use, inclusive access and benefit-sharing of natural resources, biodiversity, chemicals, waste management and ecosystems.  
**6.4:** In line with international conventions and national policy frameworks, implementation mechanisms are effectively introduced that promote sustainable use of natural resources, protect ecosystem and biodiversity and effectively manage and mitigate the threats to this process (chemicals, waste, CO2 emissions, etc.)

**Project Outputs:** (Those that will result from the project and are taken from the Project Strategy)  
**Output 1:** Increased institutional capacity of the government and key stakeholders to address climate change  
**Output 2:** Improved measures towards climate change adaptation and mitigation which promote sustainable use of natural resources and includes disaster risk reduction

**Implementing Partner:** UNDP

**Responsible Parties:** PRCS, IFRC, NED, MGPO & PNPPCT

**Project Brief Description**

Pakistan presently faces serious development challenges due to deteriorating state of environment, increasing pressure on natural resources and climate change. As per the global climate index, Pakistan ranks 7<sup>th</sup> most vulnerable country to the impacts of climate change. The variability in climate and weather pattern has resulted in an increase in the intensity and frequency of disasters which is drastically undermining development in the country. Moreover, Pakistan's economy remains highly vulnerable to likely future threats posed by climate change and multi-sectoral and holistic mitigation measures are required to be accorded high priority to mitigate these threats. The proposed project is aligned to the priorities outlined in the national climate change policy, national DRR policy, and sustainable development agenda, the Sendai Framework for DRR and most importantly UNSDF for Pakistan 2018-2022. The project aims to provide assistance and support to the GoP through supporting the government in strengthening policy areas, advocacy and awareness on environmentally sustainable adaptive practices, disaster risk reduction and sustainable energy; also to adapt to climate change by mainstreaming climate resilience in all key sectors and securing investment and finally, build capacities of the key stakeholders especially the communities and partners in sustainable management of resources, i.e. energy, water, forestry, biodiversity etc.

<p>Programme Period: 2018-2022                  Key Result Area (Strategic Plan): Growth and development are inclusive and sustainable, incorporating productive capacities that create employment and livelihoods for the poor and excluded                  Atlas Project ID: 00075411                  Atlas Output ID: 00087334                  Atlas Output ID(Tsunami): 00115090</p> <p>Start date: January 01, 2019                  End Date: December 31, 2022</p> <p>PAC Meeting Date: April 03, 2019                  PSC Meeting Date: April 03, 2019</p>	<p>2019 AWP budget  <b>US\$ 1,660,518</b>                  Total allocated resources:</p> <ul style="list-style-type: none"> <li>• UNDP US \$ 181,100</li> <li>• BRH UNDP US \$ 13,043</li> <li>• *DFID (Through BRH) US \$ 150,000</li> <li>• GWC US \$ 121,352</li> <li>• PIDSA US \$ 36,843</li> <li>• *UNEP US\$ 176,000</li> <li>• Private Sector US\$ 7,115</li> <li>• Govt of Japan US\$ 895,065</li> </ul> <p><b>Pipeline:</b></p> <ul style="list-style-type: none"> <li>• GWC US \$ 80,000</li> </ul> <p>*DFID Funds are managed by UNDP Regional Hub, Bangkok, &amp; UNEP's through Authorization and are not</p>
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*only \$1,145,518 to be budgeted in Atlas. excl. DFID UNEP pipeline.*

Agreed by UNDP (RR / DRR-P) **NAOKO TAKASU**  
 Deputy Resident Representative Programme  
 United Nations Development Programme  
 Islamabad Pakistan

*AMAY 2019*

I. ANNUAL WORK PLAN 2019

Project ID: 00075411 Project Title: Institutional Support to Climate Change Adaptation and Mitigation-II

EXPECTED OUTPUTS <i>And baseline, associated indicators and annual targets</i>	PLANNED ACTIVITIES <i>List activity results and associated actions</i>		TIMEFRAME				RESPONSIBLE PARTY	Funding Source	PLANNED BUDGET	
	Q1	Q2	Q3	Q4	Budget Description	Amount (US\$)				
<b>CPD Outcome 2: (UNSD Outcome 6):</b> Enhanced resilience and socioeconomic development of communities.										
<b>TECHNICAL COMPONENT</b>										
<p><b>Output 1:</b>  <b>Increased institutional capacity of the government and key stakeholders to address climate change and disaster risk reduction</b></p> <p><b>Indicator 1.1:</b> Number of climate change policies/plans/strategies are developed and implemented at national and provincial level</p> <p><b>Baseline:</b> 1(National policy on climate change and national action plan on sustainable energy for all)</p> <p><b>Targets:</b> 01 (National Action Plan on Climate Change Policy developed)</p>	<p>Activity Result 1.1.1:                      Bridging gap for national communication in order to support MOCC in the process of development of country's Second National Communication (SNC) project on Climate Change</p> <p>Action 1.1.1.1.a:                      Institutional support to the government in Biennial Update Reports (BURs) and SNC</p> <p>Action 1.1.1.1.b:                      Providing support to Climate Finance Unit, to generate funds for the government of Pakistan</p> <p>Activity Result 1.1.2:                      Support Government in formulating National Action Plan on Climate Change</p> <p>Action 1.1.1.2a:                      Development of National Action Plan on Climate Change</p> <p>Activity Result 1.1.3:                      Support the process for strengthening the governance of Climate Change finance</p> <p>Action 1.1.3.a:                      Mainstreaming CC into national and provincial budgetary and planning systems through climate responsive budgeting.</p> <p>Activity Result 1.1.4                      Promoting Green Energy to Mitigate Climate Change and Energy Crisis</p>	X	X	X	X	UNDP	UNEP	71400: Contractual Services-Individual	176,000.00 **	
						UNDP	UNDP	72100: LOA 71400: IC	25,000.00	
		X	X	X		UNDP	UNDP	71300: Local Consultants 71600: Travel	15,000.00 3,000.00	
		X	X			UNDP	DFID (BRH)	71300: Local Consultants 72100- Contractual Services-Companies 71400- Contractual Services-Ind	150,000.00 **	
			X	X		UNDP	PIDSA	Activities will be managed by UNDP BRH 72100: Contractual Services-Companies 71300: Local Consultants	35,770.00	

EXPECTED OUTPUTS And baseline, associated indicators and annual targets	PLANNED ACTIVITIES List activity results and associated actions	TIMEFRAME				RESPONSIBLE PARTY	PLANNED BUDGET		
		Q1	Q2	Q3	Q4		Funding Source	Budget Description	Amount (US\$)
	Improved access to sustainable energy in rural areas of Pakistan by carrying out relevant interventions / studies / surveys								
	Activity Result 1.1.5: Developing Financing Framework and Partnerships with national and international private sector	X	X			UNDP	75700: Workshop Costs	3,000.00	
	Action 1.1.5a: Launching the National Action Plan and Investment Prospectus on Sustainable Energy for All and develop financing framework to support the National Action Plan implementation								
	Activity Result 1.1.5b: Create partnerships towards climate change adaptation and mitigation efforts specifically on water and energy initiatives	X	X	X		UNDP	71300: Local Consultants 72100-Contractual Services-Companies/RPA/LOA 71600: Travel	5,000.00 5,000.00	
	Activity result 1.2.1: Support to NDMA in finalization of Tsunami policy guidelines								
	Action 1.2.1a: Organize 01 national and 02 provincial policy dialogues for developing policy framework for earthquake and tsunami risk reduction	X	X	X	X	UNDP	75700 & 71300: Workshop & Local Consultants	55,000	
	Activity result 1.2.2: Improved Tsunami early warning system in three project districts								
	Action 1.2.2.a: Conduct 01 Earthquake and tsunami risk assessment of three-kilometre-wide coastal belt of Karachi, Tsunami early warning communication and dissemination SOPs, and earthquake and tsunami guidelines for implanting building bylaws and codes in coastal areas.	X	X	X	X	GoJ NED University	72100: LOA/MCGA/ RPA	100,000	

**Indicator 1.2:** Extent to which disaster and climate-risk management is integrated in key sectors such as development planning, environment, water resource management, health, education

**Scale:**

- 1 = Not at all, (0%)
- 2 = to a very partial extent, (upto 20%)
- 3 = to some extent; (21-50%)
- 4 = to a significant extent; (51-85%)

**Baseline:** Scale 2

**Target:** Scale 3

**Indicator 1.3:** Extent to which data is collected including detailed geographical coverage and disaggregation (gender, vulnerable groups, and youth)

EXPECTED OUTPUTS	PLANNED ACTIVITIES	TIMEFRAME				RESPONSIBLE PARTY	Funding Source	PLANNED BUDGET	
		Q1	Q2	Q3	Q4			Budget Description	Amount (US\$)
		List activity results and associated actions							
<p>And baseline, associated indicators and annual targets</p> <p><b>Scale:</b></p> <ol style="list-style-type: none"> <li>1. Not adequately</li> <li>2. Very partially</li> <li>3. Partially</li> <li>4. Largely</li> </ol> <p><b>Baseline:</b> Scale 1 <b>Target:</b> Scale 2</p> <p><b>Indicator 1.4:</b> Number of national and subnational stakeholders (Government departments such as NDMA, PDMA, DDMA, PMD, PRCS; also CBO's and academic institutions), in districts of Sindh and Balochistan, have increased capacities on tsunami risk reduction</p> <p><b>Baseline:</b> 0 <b>Target:</b> 1 (NDMA, 2XPDMA, NED University, PMD)</p>	<p>Action 1.2.2.b: Strengthen 01 end-to-end early warning system (EWS) for coastal areas and improve existing mechanism through review and revision of SOPs, building institutional capacity for better coordination among national, provincial and local stakeholders</p>				x		GoJ	72100: LOA/MCGA/ RPA 72800: Equipment	17,500 20,000
	<p>Action 1.2.2.c: Piloting 06 tsunami early warning systems in target districts, through revision of district specific SOPs, installation of EWS equipment, including through modern communication tools and technologies</p>			x			GoJ	72100: LOA/MCGA/ RPA	50,000
	<p>Activity result 1.4.1: Improved Disaster risk management capacities of national and sub national stakeholders</p>								
	<p>Action 1.4.1.a: Strengthening capacity of relevant provincial and district stakeholders for disaster response and relief (search and rescue operations) 05 trainings</p>		x			x	GoJ	72100: LOA/MCGA/ RPA	30,000
	<p>Action 1.4.1.b: Mainstreaming hazards (earthquakes and tsunamis) specific to coastal areas into national building codes, standards and bylaws</p>								No activity planned for 2019
	<p>Action 1.4.1.c: Develop and disseminate school safety guidelines and mitigation strategies for coastal areas on earthquake and tsunami hazards</p>			x	x	GoJ	71300, 75700: IC and training	20,000 20,000	
	<p>Action 1.4.1.d: Exchange of best practices and experience with other countries with similar earthquake and tsunami vulnerability profile</p>							No activity planned for 2019	





EXPECTED OUTPUTS And baseline, associated indicators and annual targets	PLANNED ACTIVITIES List activity results and associated actions	TIMEFRAME				RESPONSIBLE PARTY	PLANNED BUDGET		
		Q1	Q2	Q3	Q4		Funding Source	Budget Description	Amount (US\$)
								UNDP	72400: Printing & Publication
					UNDP	71600: Travel	0.00		
					UNDP	71600: Travel	14,850		
					UNDP	74500: Miscellaneous Expenses	10,000		
					UNDP	73100: Rental & Maintenance-Premises	48,288		
					UNDP	73100: Rental & Maintenance-Premises	10,000		
					GoJ	72100: Security Cost	12,150		
					UNDP	73400: Maint, Opr of Transport Equipment	5,000		
					GoJ	74500: Miscellaneous Expenses	7,706		
		X	X	X	UNDP	74500: Miscellaneous Expenses	5,000		
		X	X	X	UNDP	72100: Trainings Cost	25,960		
		X	X	X	GoJ	75100: Trainings Cost	66,301		
		X	X	X	GoJ	75100: Trainings Cost	66,301		
		X	X	X	Ad. Fund	75100: Trainings Cost	1,043		
		X	X	X	PIDSA	75100: Trainings Cost	1,073		
		X	X	X	Private Sec	75100: Trainings Cost	570		
		X	X	X	GWC	75100: Trainings Cost	16,109		
					<b>Sub-Total (C)</b>			<b>226,050</b>	
					<b>TOTAL OUTPUT-1 (A+B+C)</b>			<b>1,660,518</b>	

Data Collection Plan								
Project ID: 00075411	Indicators	Baseline	Targets	Source/Method of Collection	Schedule/Frequency	Responsible Staff	Resources (\$)	Risks and Assumptions
<p><b>Project Title:</b> Institutional Support to Climate Change Adaptation and Mitigation-<b>Expected Results (Outcomes &amp; Outputs)</b></p> <p>Obtained from the CPD and project Results Frameworks)</p>	Obtained from the CPD and project Results Frameworks)	At the project start date	At the project end date	Specific publication, survey, field observation, interviews, etc	Monthly, quarterly, annually, etc	Staff member responsible for collecting and reporting data	Estimated cost of collecting and reporting data	Any risks or assumptions concerning data collection
<p><b>UNSD/CPD Outcome 6:</b> By 2022, the resilience of the people in Pakistan, especially key populations, is increased by addressing natural and other disasters, including climate change adaptation measures and the sustainable management of cultural and natural resources</p> <p><b>CPD Output(s): Output 6.3:</b> Legal and regulatory frameworks and policies are in place, and institutions capacitated for the conservation, sustainable use, inclusive access and benefit-sharing of natural resources, biodiversity, chemicals, waste management and ecosystems.</p> <p><b>6.4:</b> In line with international conventions and national policy frameworks, implementation mechanisms are effectively introduced that promote sustainable use of natural resources, protect ecosystem and biodiversity and effectively manage and mitigate the threats to this process (chemicals, waste, CO2 emissions, etc.)</p>								



<p><b>Project Output 1: Increased capacity of government and key stakeholders to address climate change</b></p>	<p><b>Indicator 1.1:</b> Number of climate change policies/plans/strategies developed and implemented at national and provincial level</p> <p><b>Indicator 1.2:</b> Extent to which disaster and climate-risk management is integrated in key sectors such as development planning, environment, water management, resource health, education</p> <p><b>Scale:</b>  1 = Not at all; (0%)  2 = to a very partial extent; (upto 20%)  3 = to some extent; (21-50%)  4 = to a significant extent; (51-85%)</p>	<p>1(National policy on climate change and national action plan on sustainable energy for all)</p> <p>Scale 2</p>	<p>01 (National Action Plan on Climate Change Policy developed)</p> <p>Scale 3</p>	<p>Office and field meetings, Email Correspondence, conference calls etc</p>	<p>2<sup>nd</sup> week and 4<sup>th</sup> week for one-month consultancy assignment</p> <p>Monthly on two or more months assignment</p>	<p>Programme Officer and Admin Finance Associate</p>	<p>As per Actual but estimated to be around 10,000 USD</p>	<p>Timelines to collect data through interviews, meetings and conducting workshop might face delays in achieving deliverables</p> <p>Non-availability of technical or relevant persons to be hired as consultants (national and international) might face delays in timely execution.</p>
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	<p><b>Indicator 1.3:</b> Extent to which data is collected including geographical coverage and disaggregation (gender, vulnerable groups, and youth)</p> <p><b>Scale:</b></p> <ol style="list-style-type: none"> <li>1. Not adequately</li> <li>2. Very partially</li> <li>3. Partially</li> <li>4. Largely</li> </ol> <p><b>Baseline:</b></p> <p><b>Target:</b></p>	Scale 1	Scale 2					
	<p><b>Indicator 1.4:</b> Number of national and subnational stakeholders (Government departments such as NDMA, PDMA, DDMA, PMD, PRCS; also CBO's and academic institutions), in districts of Sindh and Balochistan, have increased capacities on tsunami risk reduction</p>	0	1 (NDMA, 2XPDMA, NED University, PMD)					

<p><b>Project Output 2:</b> Improved measures towards climate change adaptation and mitigation which promote sustainable use of natural resources and includes disaster risk reduction</p>	<p><b>Indicator 2.1:</b> : Number of relevant stakeholders and institutions benefitting from UNDP thematic interventions a.# of stakeholders benefitting from provision of clean drinking water b.# of stakeholders benefitting from energy access c.# of institutions benefitting from improved financial mechanisms</p>	<p>273,000 (4x institutions and 269,000 beneficiaries)</p>	<p>31,501</p>	<p>Office and field meetings, Email Correspondence, conference calls etc</p>	<p>2<sup>nd</sup> week and 4<sup>th</sup> week for one month consultancy assignment  Monthly on two or more months assignment</p>	<p>Programme Officer and Admin Finance Associate</p>	<p>As per Actual but estimated to be around 10,000 USD</p>	<p>Timelines to collect data through interviews, meetings and conducting workshops might face delays in achieving deliverables  Non-availability of technical or relevant persons to be hired as consultants (national and international) might face delays in timely execution.</p>
	<p><b>Indicator 2.2:</b> Number of social service facilities (education and health) capacitated (through trainings and DRR planning) to withstand tsunami and earthquake risks in selected communities of three selected coastal districts of Sindh and Balochistan</p>	<p>0 (No education and health facilities capacitated to withstand tsunami and earthquake risks in selected communities)</p>	<p>30 (25 schools + 5 hospitals) in 10 communities of each district</p>				<p>0</p>	
	<p><b>Indicator 2.3:</b> Number of gender sensitive and disabled friendly structural tsunami risk mitigation interventions (mangrove plantations, tsunami resistant model structures, tsunami evacuation sites) in three selected coastal districts of Sindh and Baluchistan are established</p>	<p>0</p>						

### III. Recruitment Plan 2019

(Include all the recruitments envisaged by the project in AWP 2018 - including national and international staff positions that are vacant or newly created)

**Project ID:** 00087334 **Project Title:** Institutional Support to Climate Change Adaptation and Mitigation-II

#	Post Title	National/ International	Level of Post	Proforma Cost per year (US\$)	Responsible party (UNDP/EAD/ IP/PMU etc)	Contract Modality (TA/FTA/SC/ NIMU/Govt)	Reporting to/ Supervisor	Duty Station	Contract Start Date	Contract End Date
1	Intern x 2 (4-6 weeks each during the year)	National	NA	500.00	UNDP	Internship	Programme Officer	Islamabad	May 2019	Sep 2019
2	Admin & Finance Associate	National	SB 3/2	17,205	UNDP	SC	Programme Officer	Islamabad	Apr 2019	Dec 2019
3	Project Manager	National	SB 5/2	55,334	UNDP	SC	ARR-ECCU	Karachi	July 2019	Dec 2019
4	Admin & Finance Associate	National	SB 3/2	17,205	UNDP	SC	Project Manager	Karachi	July 2019	Dec 2019
5	Monitoring and reporting associate	National	SB 3/2	17,205	UNDP	SC	Project Manager	Karachi	July 2019	Dec 2019
6	Office Assistant	National	SB 1/1	5,335	UNDP	SC	Admin Finance Associate &	Karachi	July 2019	Dec 2019
7	Driver	National	SB 1/2	6,459	UNDP	SC	Admin Finance Associate &	Karachi	July 2019	Dec 2019

**IV. PROCUREMENT PLAN 2019**

**Project ID: 00087334      Project Title: Institutional Support to Climate Change Adaptation and Mitigation-II**

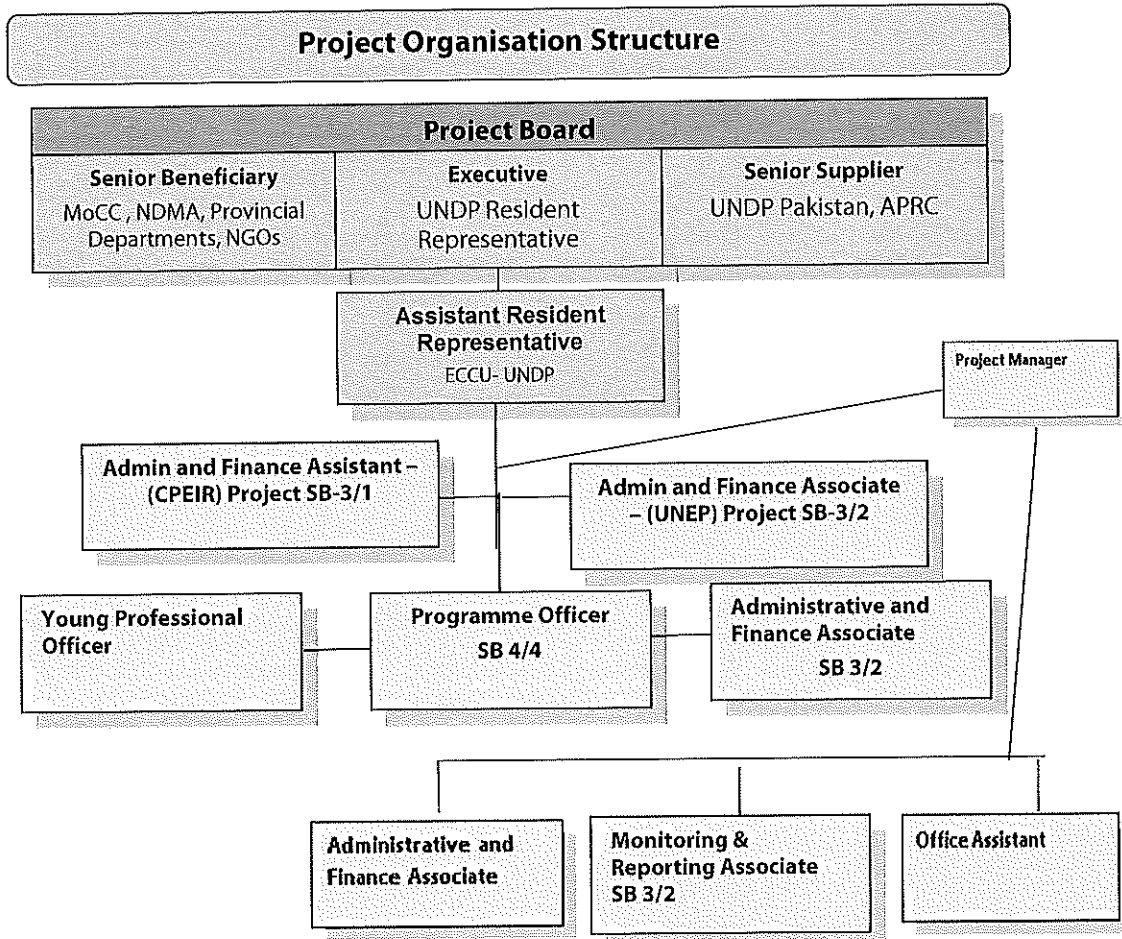
No.	Description	Type (good, service, works)	Estimated Budget (\$)	Responsible party (UNDP/EAD/IP/PMU etc)	Invitation Type (EFP, RFA, ITB, etc)	Announcement Target Date	Evaluation Target Date	Committee Review (CAP, RACP, etc)	Committee Review Target Date	Contract Start Date	Contract End Date	Responsible project staff
1.	Consultant-NAP-CC	Services	10,000	UNDP	IC Notice	Mar 19	Mar'19	NA	NA	Apr 19	Sep 19	Programme Officer / AFA
2.	Individual consultant	Services	91,100	UNDP	IC Notice	Aug 19	Aug 19	NA	NA	Sep 19	Dec 19	NPC-DRR, AFA
3.	Equipment for Tsunami Project	goods	215,000	UNDP	RFQ	Sep 19	Sep 19	NA	NA	Nov 19	Nov 19	AFA
	<b>TOTAL</b>		<b>316,100</b>									

**V. MANAGEMENT ARRANGEMENTS**

Explain the roles and responsibilities of the parties involved in managing the project.

Please refer to the *Project Document – Deliverable Description* to complete this component of the template.

Use the diagram below for the composition of the Project Board.



## **Prior obligations and prerequisites**

### **A brief description/summary of the inputs to be provided by all partners**

#### **Audit and Oversight Arrangements**

Implementing partners agree to cooperate with UNDP for monitoring all activities supported by cash transfers and will facilitate access to relevant financial records and personnel responsible for the administration of cash provided by the UNDP. To that effect, Implementing partners agree to the following:

1. Periodic on-site reviews and spot checks of their financial records by UNDP or its representatives,
2. Programmatic monitoring of activities following UNDP's standards and guidance for site visits and field monitoring,
3. Special or scheduled audits. UNDP will establish an annual audit plan, giving priority to audits of Implementing Partners with large amounts of cash assistance provided by UNDP, and those whose financial management capacity needs strengthening.

The audits will be commissioned by UNDP and undertaken by private audit services. Assessments and audits of non-government Implementing Partners will be conducted in accordance with the policies and procedures of UNDP.

## VI. PLANNING, MONITORING AND REPORTING

The project will follow the following planning, monitoring and reporting cycle during the year. *As necessary, add the target dates monitoring visits, spot checks, evaluations and other missions by donors or other stakeholders.*

Timeline /Target Date	Activity	Primary Responsibility
1 May 2019	Prepare draft Annual Work Plan 2018 and budget	Programme Officer
5 May 2019	Review of AWP for Quality assurance, alignment with CPD and UNDP priorities, results orientation and resource availability	ACD UNDP and Program Officer
09 May 2019	Submit signed AWP for final review and signature by UNDP	Programme Officer
21 January 2019	Submit draft Annual Progress Report 2018, including project contribution to outcomes, outputs, activities and financial delivery and lessons-learnt, to UNDP	Programme Officer
10 May 2019	Approval of AWP by UNDP	DRR-P UNDP
24 January 2019	Review and provide feedback on the project APR	ACD UNDP and Program Officer
27 January 2019	Submit final Annual Progress Report 2018 to UNDP	Programme Officer
15 January 2020	Annual audit of the project	MSU-UNDP
05 July 2019	Quarterly Progress Reports, including:	Programme Officer
05 September 2019	a) Report on project progress and financial delivery b) Update of Issue Log in Atlas (tracking and resolution of potential problems or requests for change) c) Update of Risk Log (Reviewing of external environment that may affect project implementation)	
15 Aug 2019	Mid-year review of project progress or revision of the AWP	Programme Officer
30 November 2019	a) Review of project contribution to results and financial delivery 2019; Review and endorsement of AWP 2020	Programme Officer

In accordance with the programming policies and procedures outlined in the UNDP User Guide, the project will be monitored through the following:

### Within the annual cycle

- On a quarterly basis, a quality assessment shall record progress towards the completion of key results, based on quality criteria and methods captured in the Quality Management table below.
- An Issue Log shall be activated in Atlas and updated by the Programme Manager to facilitate tracking and resolution of potential problems or requests for change.
- Based on the initial risk analysis submitted (see annex 1), a risk log shall be activated in Atlas and regularly updated by reviewing the external environment that may affect the project implementation.
- Based on the above information recorded in Atlas, a Project Progress Reports (PPR) shall be submitted by the Programme Manager to the Project Steering Committee through Project Assurance, using the standard report format available in the Executive Snapshot.
- a project Lesson-learned log shall be activated and regularly updated to ensure on-going learning and adaptation within the organization, and to facilitate the preparation of the Lessons-learned Report at the end of the project



- a Monitoring Schedule Plan shall be activated in Atlas and updated to track key management actions/events

#### Annually

- **Annual Review Report.** An Annual Review Report shall be prepared by the Programme Manager and shared with the Project Steering Committee. As minimum requirement, the Annual Review Report shall consist of the Atlas standard format for the QPR covering the whole year with updated information for each above element of the QPR as well as a summary of results achieved against pre-defined annual targets at the output level.
- **Annual Project Review.** Based on the above report, an annual project review shall be conducted during the fourth quarter of the year or soon after, to assess the performance of the project and appraise the Annual Work Plan (AWP) for the following year. In the last year, this review will be a final assessment. This review is driven by the Project Steering Committee and may involve other stakeholders as required. It shall focus on the extent to which progress is being made towards outputs, and that these remain aligned to appropriate outcomes.

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## VI. LEGAL CONTEXT

This document together with the CPAP signed by the Government and UNDP which is incorporated by reference, constitute together the instrument envisaged and defined in the Supplemental Provision to the Project attached hereto and forming an integral part hereof, as the "Project Document".

### **Alternative B [where the Implementing Partner is UNDP (DIM), the UN, a fund/programme of the UN, or a UN agency]**

UNDP, as the Implementing Partner (IP), shall comply with the policies, procedures and practices of the United Nations safety and security management system.

UNDP agrees to undertake all reasonable efforts to ensure that none of the project funds<sup>1</sup> are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via [http://www.un.org/sc/committees/1267/aq\\_sanctions\\_list.shtml](http://www.un.org/sc/committees/1267/aq_sanctions_list.shtml). This provision must be included in all sub-contracts or sub-agreements entered into under this Project Document.

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<sup>1</sup> To be used where UNDP is the Implementing Partner

**ANNEXES**

**Risk Log Matrix - ATTACHED**

## OFFLINE RISK LOG

Project Title: Institutional Support to Climate Change Adaptation and Mitigation		Award ID:00075411			Date:20 Mar 2019				
#	Description	Date Identified	Type	Impact & Probability	Countermeasures / Mngt response	Owner	Submitted, updated by	Last Update	Status
1	Resource mobilization	March 2019	Financial	P = 2 I = 4	Develop more marketable programmes and vigorously pursue global and local funding sources	Unit Chief	Programme Officer	March 2019	Active
2	Security risk	March 2019	Organizational	P=3 I=2	Compliance with security and information sharing with security agencies.	Unit Chief	Programme Officer	March 2019	Active
3	Risk of delay in project activities due to unforeseen circumstances e.g., delay in hiring of IC's/partners or delay in responses from Government departments	March 2019	Operational	P=3 I=3	Processes will be speed up by strong follow-ups to expedite the hiring and contracting of IC's. Similarly, frequent meetings will be arranged with Government departments when needed.	Unit Chief	Programme Officer	March 2019	Active
4	Capacity constraints (technical & institutional)	March 2019	Organizational	P=3 I=4	Provision for technical expertise for the execution of activities so that capacity gaps can be filled	Unit Chief	Programme Officer	March 2019	Active

Enter probability on a scale from 1 (low) to 5 (high)

Enter impact on a scale from 1 (low) to 5 (high)